



PROHIBITED TRANSACTIONS

WWW.SEAGLELAW.COM

TRANSACTIONS WITH DISQUALIFIED PERSONS

- Parents
- Grandparents
- Great Grandparents (and spouses of)
- You and your spouse
- Children
- Grandchildren
- Great Grandchildren (and spouses of)
- Businesses you own at least 50%
- Your Employer
- Fiduciaries / Custodians
- Plan Service Providers (i.e., the plan's attorneys or accountants)

PROHIBITED INVESTMENTS

- Collectibles
- Artworks
- Rugs
- Antiques
- Stamps
- Coins (except for gold and silver coins minted by the US Treasury)
- Metals (except for gold, silver, platinum, and platinum bullion)
- Gems
- Alcoholic Beverages
- S-Corporation Stock (loses S-Corp Status)